

Analysis of Structural, Electronic and Mechanical Properties of $Cr_xSn_{1-x}O_2$ Compounds from First

Principle Approach

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ABSTRACT: In this work, the elastic constants of the second-order rutile $Cr_xSn_{1-x}O_2$ compounds were investigated using the first-principle methods. From here, we obtained the effects of Cr atoms on SnO_2 which enhanced increase in an isotropic bulk modulus, shear modulus, sound velocities as well as Debye temperature θ . The direct energy band gaps of $Cr_xSn_{1-x}O_2$ compounds decreased with the addition of Cr atom from $x = 0$ to 1. However, the energy band gap computation showed decrease in an indirect gap from $x = 0.25$ to 0.75 and increase at $x = 1$ as the molar fraction of chromium atom added varied. The calculated Poisson's ratio revealed mechanical stability and ductility of $Cr_xSn_{1-x}O_2$ compounds. This study analysed the computed pressure with Birch-Murnagan expression at $x = 0(413.0\text{GPa})$, $x = 0.25(577.1\text{GPa})$, $x = 0.50(663.2\text{GPa})$, $x = 0.75(907.7\text{GPa})$ and $x = 1.00(660.1\text{GPa})$.

KEYWORDS: First principle, Transition Metallic-oxide, Density functional theory, Elastic Properties, Electronic properties.

1. INTRODUCTION

The tetragonal rutile structured stannic oxide with the space group $P4_2/mnm$ is the primary ore from unformed tin with a chemical composition of tin oxide (SnO_2) mainly found in veins of rough igneous rocks. The pure binary SnO_2 is colourless, diamagnetic and amphoteric. SnO_2 , an n-type broad bandgap transition metallic oxide, is attracting significant attention due to its relevance as an opacifier (Molera et al., 2004), catalyst (Lee et al., 2020; Bejtka et al., 2019; Mauraya et al., 2020), pigment in the manufacturing of glasses and enamels (Trojan et al., 2016; Topuz et al., 2016), polishing powder (Sikalidis, 2011), conductive coatings (Yao et al., 2019; Yang et al., 2006), refractories (Jedynak et al., 2011), solar cells (Liu et al., 2019), gas sensing (Rieu et al., 2015), electrochemical (Biswal et al., 2020; Kuriganova et al., 2016) and medicine (Gorai, 2018 and Kuriganova et al., 2016) to mention a few.

The peculiar applications of bulk SnO_2 enhanced its in-depth studies both experimentally (Schierbaum et al., 1991; Das et al., 2016; Jim et al., 2014) and theoretically (Akgul et al., 2013; Liu et al., 2011; Oshima and Yoshino 2012;

Fakhim et al., 2014; Robertson, 1979; Godinho et al., 2009; Li et al., 2009; Ozer and Cabuk 2019) using various approaches (Mauraya et al., 2020; Diliegros-Godines et al; 2015; Sharma et al., 2010; Gao et al., 2014). Akgul et al., (2013) in an experimental survey, determined structural, optical and electronic properties of the polycrystalline thin film of fluorine doped tin oxide (FTO) at 400°C using spray pyrolysis approach. Gao et al., (2014) studied the betterment of mechanical properties of FTO thin films and discovered an increase in its hardness and Young modulus from 9.01GPa to 15.08 GPa and 125.24GPa to 206.93 GPa, respectively. Ozer and Cabuk (2019) derived pressure- dependence empirical equations of the elastic constant and determined structural and elastic properties of rutile cassiterite at ambient and under pressures. Godinho et al., (2009) explored density functional theory (DFT) to compute the formation energies and electronic properties of possible isolated defects and their clustered pairs. Other researchers such as: Haeberie et al., (2016); Henkel et al., (2019); Sabergharresou et al., (2019); Leng et al., (2012) and Borges et al. (2011) also embarked on various studies.

The mechanical property displays the durability and range of usage of any material subject to applied force. It is influenced by features like grain size, heat treatment, atmospheric exposure, temperature, modulus of elasticity, tensile strength elongation, hardness, fatigue limit, conductivity, corrosion resistance, density, fracture toughness, plasticity, melting point and coefficient of friction (Liu et al., 2011). Materials elasticity depends on these three moduli: Young's modulus, bulk modulus and shear modulus. The extension of the elastic limit of materials due to stress results in fracture or plastic behaviours.

Notwithstanding the volume of existing works on SnO₂, there is a need to examine the effects of dopant; chromium on SnO₂, its structural, electronic and elastic properties at various concentrations to enhance elongation of new materials and their usefulness under an applied force.

2. COMPUTATIONAL DETAILS

The structural, mechanical and electronic properties of $Cr_xSn_{1-x}O_2$ compounds were determined in this study using Perdew-Burke Ernzhof- Projected Augmented Wave (PBE-PAW) from quantum espresso package and its cost- efficient, inside Generalized Gradient Approximation (GGA) about density functional theory. The Monkhorst Pack (Monkhorst and Pack, 1976).

K-mesh is sampled at 10x10x5 at $x = 0.00, 0.25, 0.75$ and 1.00 also, 8x8x4 at $x = 0.50$. The kinetic energy cut off is set at 80.0eV at $x = 0$ and 90.0eV at $x = 0.25$ to 1.00. The consistent self-convergence of total energy is set at 0.01 mRy. The outer valence electrons for the pseudo-atom quantum simulations are: Sn 5S²5P², O 2S²2P⁴ and Cr 3d⁵4s¹.

3. METHODOLOGY

Vegard's principle examined the rules of energy mixture of solid solution between two phases A and B at constant temperature and uniform crystal structure. The rule is not restricted to only pure binary solid solution A and B, it is

also applicable to ternary transition metallic oxide, $Cr_x Sn_{1-x} O_2$. The Energy gap (E_g) as demonstrated by Vegard's principle is:

The energy band gaps at $x = 0$ to 1 for each substitution of Cr atom into SnO_2 were computed using Vegard's law (Vegard, 1921):

$$E_g (A_x B_{1-x} C) = x E_{AC} + (1-x) E_{BC} \quad (1)$$

while, E_{AC} and E_{BC} are the equilibrium band energies of CrO_2 , SnO_2 and $E_g (A_x B_{1-x} C)$ is the energy gap for the ternary compound. Because of lack of compliance to Vegard's principle in semiconductors both theoretical (Ayedun *et al.*, 2017; Rashkeev and Lambrecht 2001) and experimental works (Ayedun *et al.*, 2017), this principle can now be written as:

$$E_g (A_x B_{1-x} C) = x E_{AC} + (1-x) E_{BC} - x(1-x)b_E, \quad (2)$$

where b_E stands for bowing constant.

3.1 Elastic Constants

Elastic constants such as Young modulus, Bulk modulus, shear modulus and Poisson's ratio describe the elastic behavior of materials. Flexible materials with high modulus are rigid, and the ones with low modulus easily yield to deformation. Elasticity is pronounced in solids than liquids. In solids, the atomic lattice changes size and shape when forces are applied. Hook's law of elasticity is obeyed when material regains its original shape and size. Hook's law can be expressed as:

$$\sigma = C\varepsilon,$$

where σ is the Cauchy stress tensor, ε is the minute strain tensor and C is the fourth-order stiffness tensor which can be reduced to an elasticity matrix, given thus:

$$C_{ijkl} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & C_{16} \\ C_{12} & C_{22} & C_{23} & C_{24} & C_{25} & C_{26} \\ C_{13} & C_{23} & C_{33} & C_{34} & C_{35} & C_{36} \\ C_{14} & C_{24} & C_{34} & C_{44} & C_{45} & C_{46} \\ C_{15} & C_{25} & C_{35} & C_{45} & C_{55} & C_{56} \\ C_{16} & C_{26} & C_{36} & C_{46} & C_{56} & C_{66} \end{bmatrix} \quad (4)$$

The Cauchy stress quantifies the stress used for small strains while the infinitesimal shear tensor measures applied strain. Altogether, there are twenty-one independent elastic constants. The number of independent tensor elastic constants is a function of the symmetry of the crystal. SnO_2 is a tetragonal structure, and only six independent

tensors elastic constant is made use of, namely: C_{11} , C_{12} , C_{13} , C_{33} , C_{44} and C_{66} according to Liu (2011). The absolute requirements for the elastic stability of tetragonal crystals under isotropic pressures are:

$$\begin{aligned} C_{11} > 0, C_{33} > 0, C_{44} > 0, C_{66} > 0 \\ (C_{11} - C_{12}) > 0, (C_{11} + C_{33} + 2C_{13}) > 0 \\ (2C_{11} + 2C_{12} + C_{33} + 4C_{13}) > 0 \end{aligned} \quad (5)$$

Voight-Reuss-Hill approximation is a device useful in calculating isotropic polycrystalline elastic moduli and it is applied in this study to evaluate Bulk, Young's and Shear moduli, and Poisson's ratio as revealed in Tables 3 and 4. After that, we determined bulk velocity (V_B), elastic waves velocity comprising compressional (V_P) and shear wave (V_G) velocities at various concentrations $x = 0$ to 1 from Navier's equation (Liu et al., 2011):

$$V_p = \sqrt{\frac{(B_s + \frac{4}{3})G}{\rho}} \quad V_s = \sqrt{\frac{G}{\rho}} \quad (6)$$

Herein, G denotes shear modulus, B_s depicts adiabatic bulk modulus and ρ stands for density.

4. RESULTS AND DISCUSSION

4.1 Structural Properties

The earliest calculation performed in this study was on the investigation of crystals' structure. The lattice parameters a and $\frac{c}{a}$ were varied simultaneously in ± 5 spaces within the span of 0.01. The computed total energies (E) at different volumes (V) were perfectly fitted into the second-order Birch-Murnagan equation of state. The exchange Murnagan (Birch, 1947 and Murnagan, 1944) equation of state is:

$$\delta E(V) = E - E_o = BV_o \left[\frac{V_n}{B'} \right] + \left(\frac{1}{(1 - B')} \right) + \left(\frac{V_n}{B' (B' - 1)} \right) \quad (7)$$

It was observed that both computed equilibrium volumes and pressure increase in value with added Cr atoms from $x = 0$ (490.43 Å³, 413.0 GPa), $x = 0.25$ (942.99 Å³, 577.1 GPa). At $x = 0.50$ (939.61 Å³, 663.2 GPa); $x = 0.75$ (912.07 Å³, 907.7 GPa). The two reduced from $x = 0.75$ and crystallized into CrO₂ (863.10 Å³, 660.1 GPa) at $x = 1$.

$Cr_xSn_{1-x}O_2$ compound retained its tetragonal crystal structures for a pure SnO_2 with a six atom supercell at $x = 0$ and when Cr atom replaced Sn atom from $x = 0.25$ to 1 using a twelve atom supercell with atomic positions Sn: 2a (0, 0, 0), (0.5, 0.5, 0.5) and O: 4f (0.305, 0.305, 0), (0.695, 0.695, 0), (0.195, 0.805, 0.5), (0.805, 0.195, 0.5). The ground state structural parameters of a, c, bulk modulus (B) and its derivative B' are displayed in table 1. The results of the unit cell (a and c) are in harmony with existing results (Letifi *et al.*, 2019 and Rahman *et al.*, 2008). The values of bulk modulus (B) and its derivative B' are slightly higher because of the GGA artefact.

Table 1: Optimized Lattice parameters a, c, bulk modulus (B) and pressure derivative of SnO_2 .

| Parameter | Present Study | Existing Works | |
|-----------|---------------|-----------------------|---------------|
| | | Experimental | Theoretical |
| a (Å) | 4.7358 | 4.743, 4.7202, 4.7471 | 4.8959, 4.780 |
| c | 3.1853 | 3.2070, 3.200 | 3.0371, 3.268 |
| B [GPa] | 413.0 | 205, 270 | 213.69, 244.7 |
| B' [GPa] | 9.63 | 7 | 6 |

4.2 Electronic Properties

The computed band structure energies of $Cr_xSn_{1-x}O_2$ compound are depicted in Figures 1a-e. Both direct and indirect energy gaps from uppermost valence band to minimum conduction band at $x = 0$ to 1 are reported in this study as detailed in Table 2. Non-linear decrease in energy band gaps was observed from $x = 0$ to 0.75 as the dopant Cr atom, which was added to replace the Sn atom, increased. Bloch vector K is taken along the high symmetry paths of Brillouin zone $\Gamma \rightarrow Z \rightarrow A \rightarrow M \rightarrow \Gamma \rightarrow X \rightarrow R \rightarrow Z$ as indicated in the figures. The direct energy gap of SnO_2 at $X \rightarrow X$ (1.16 eV) is in close agreement with Deligoz *et al.*, (2007) at $\Gamma \rightarrow \Gamma$ (1.25 eV), even though Deligoz *et al.*, used another high symmetry paths; $R \rightarrow \Gamma \rightarrow X \rightarrow M \rightarrow \Gamma$. An increase in band gaps at $x = 1$ for CrO_2 was also discovered. The calculated bowing constants as given by the semi-empirical formula in equation (2) for both direct and indirect energies are: 0.38eV and 8.28eV, respectively.

Table 2: Energy band gap of $Cr_xSn_{1-x}O_2$ compounds in eV.

| Materials | Direct Energy | Band Symmetry | Indirect Energy | Band Symmetry |
|-------------------------|---------------|---------------|-----------------|---------------|
| SnO_2 | 1.16 | X- X | 5.56 | Z - M |
| $Cr_{0.25}Sn_{0.75}O_2$ | 1.11 | M - M | 2.29 | Z - M |
| $Cr_{0.50}Sn_{0.50}O_2$ | 0.335 | X- X | 1.85 | Z - A |
| $Cr_{0.75}Sn_{0.25}O_2$ | 0.52 | X- X | 1.62 | A - R |
| CrO_2 | 0.69 | X- X | 1.68 | A - M |

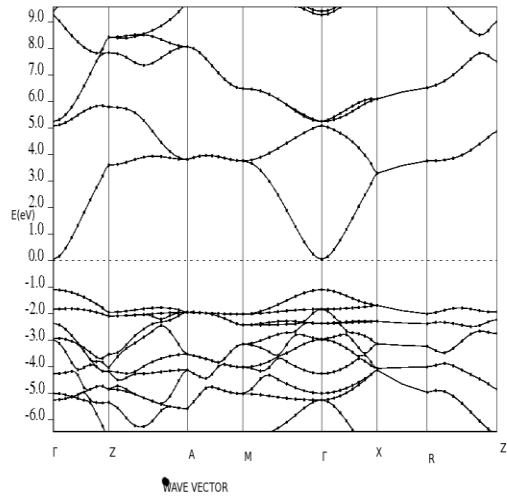


Figure 1a: Band structure of SnO₂.

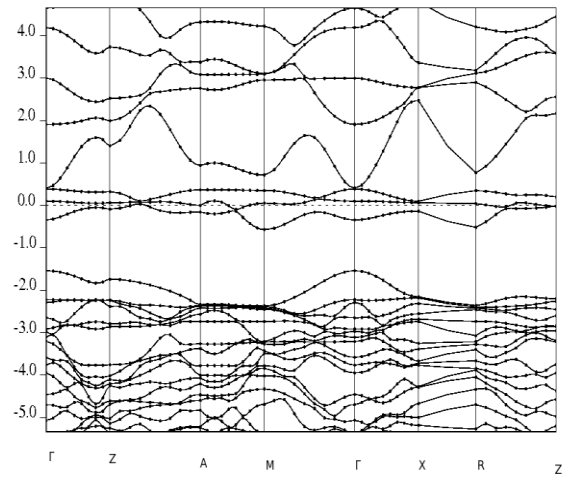


Figure 1b: Band structure of Cr_{0.25}Sn_{0.75}O₂.

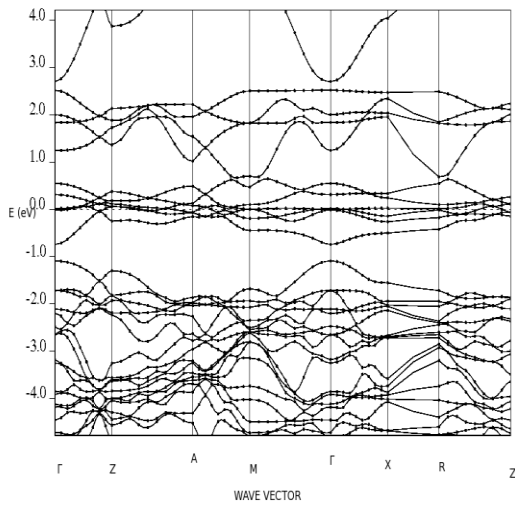


Figure 1c: Band structure of Cr_{0.50}Sn_{0.50}O₂.

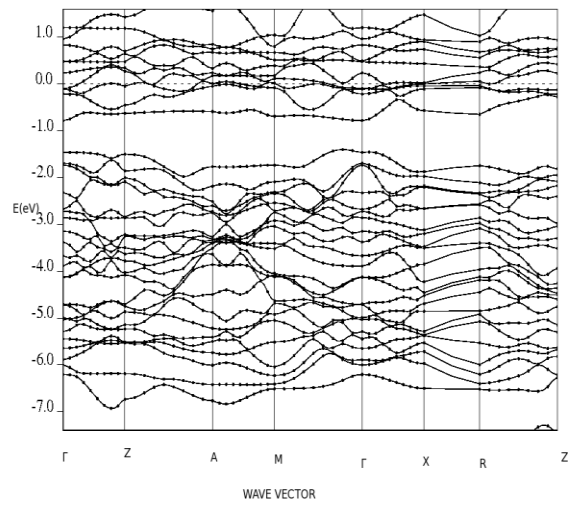


Figure 1d: Band structure of Cr_{0.75}Sn_{0.25}O₂.

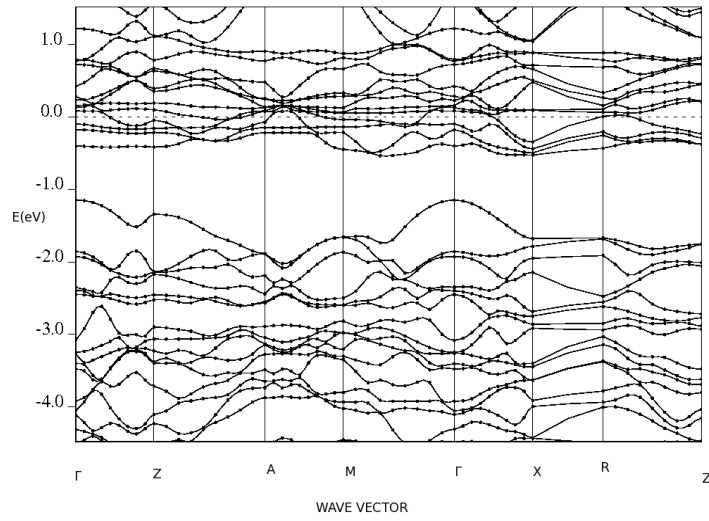
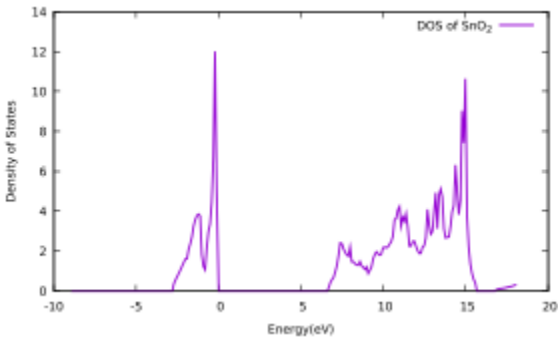
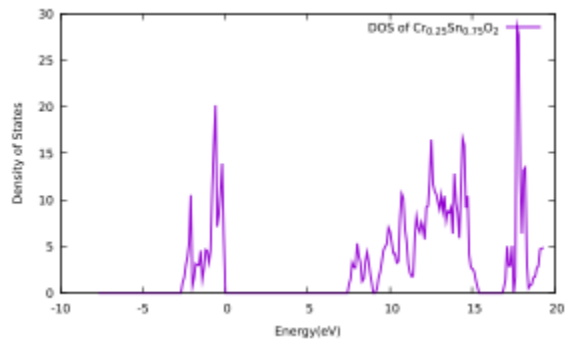


Figure 1e: Band structure of CrO₂.

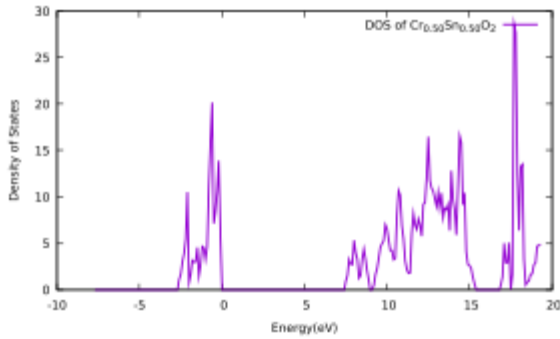
Figures 2 a-d stand for density of state of $Cr_x Sn_{1-x} O_2$ compound at $x = 0$ to 0.75 . There was no convergence at $x = 1.0$ due to artefacts present in the GGA approximation. The figures affirm the semiconductor properties of the materials. The uppermost valence band is principally dominated by the O- 2p state. The conduction band comprise of Sn-5p² and Cr-3d⁵ 4S¹ at $x = 0.25$ to 0.75 . When $x = 1.0$, it is mainly dominated by Cr-3d⁵ 4S¹ for CrO₂.



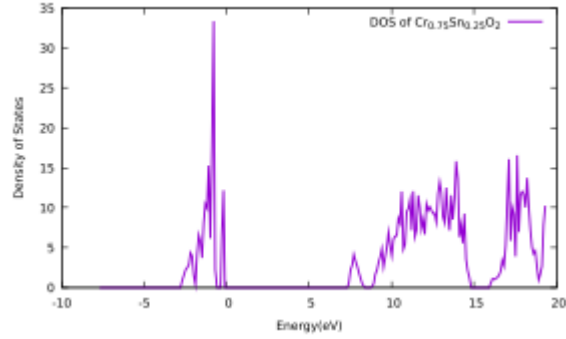
(a) Density of state of SnO₂



(b) Density of state of Cr_{0.25}Sn_{0.75}O₂



(c) Density of state of $\text{Cr}_{0.5}\text{Sn}_{0.5}\text{O}_2$



(d) Density of state of $\text{Cr}_{0.75}\text{Sn}_{0.25}\text{O}_2$

4.3 Mechanical Properties

The values of examined elastic constants of bulk, Young’s, Shear, Poisson’s ratio, Debye temperature and sound velocities differed with increased atoms of Cr at $x = 0, 0.25, 0.50, 0.75$ and 1.00 . C_{11}, C_{44} and C_{66} rose with increased Cr atom from $x = 0$ to 1 and C_{12}, C_{13} and C_{44} increased nonlinearly with additional Cr atom substituted into the material. The values obtained at $x = 0.25$ to 0.75 are not yet reported in the literature. In this work, the least value of bulk modulus is observed at $x = 0.5$. The computed elastic constants of $\text{Cr}_x\text{Sn}_{1-x}\text{O}_2$ at $x = 0, 0.25, 0.50, 0.75$ and 1 are put on display in Table 3. And the variation of Young’s modulus, Shear modulus, Poisson’s ratio, Debye’s temperature and sound velocities with concentration x is presented in Table 4.

Table 3: Elastic constant C_{ij} (GPa) and the bulk modulus B (GPa) of $\text{Cr}_x\text{Sn}_{1-x}\text{O}_2$ compound at various compositions.

| Material | Techniques | C_{11} | C_{12} | C_{13} | C_{33} | C_{44} | C_{66} | B |
|--|---------------------|----------|----------|----------|----------|----------|----------|--------|
| SnO_2 | Present Work(GGA) | 115.9 | 211.5 | 753.4 | 274.3 | 83.7 | 174.3 | 167.1 |
| SnO_2 | Experiment | 261.7 | 177.2 | 155.5 | 449.6 | 103.7 | 207.4 | 212.3 |
| SnO_2 | Others Theory(LDA) | 261.3 | 180.3 | 149.9 | 472.1 | 108.5 | 223.7 | 212.6 |
| SnO_2 | Others Theory (LDA) | 204.8 | 132.7 | 121.7 | 332.7 | 86.0 | 175.6 | 163.12 |
| $\text{Cr}_{0.25}\text{Sn}_{0.75}\text{O}_2$ | Present Work(GGA) | 138.4 | 338.9 | 785.5 | 311.2 | 80.5 | 164.9 | 158.6 |
| $\text{Cr}_{0.50}\text{Sn}_{0.50}\text{O}_2$ | Present Work(GGA) | 398.1 | 489.5 | 224.4 | 87.6 | 167.1 | 174.1 | 87.5 |
| $\text{Cr}_{0.75}\text{Sn}_{0.25}\text{O}_2$ | Present Work(GGA) | 436.5 | 108.5 | 274.7 | 108.5 | 198.4 | 194.1 | 112.6 |
| CrO_2 | Present Work(GGA) | 488.7 | 716.9 | 178.9 | 103.9 | 169.2 | 191.1 | 101.6 |
| CrO_2 | Others (Theory) | 378 | 217 | 187 | 495 | 143 | 237 | 269 |

Table 4: Calculated Young's modulus (Y), Shear modulus (G), Poisson's ratio (n), Debye's temperature (Θ) and sound velocities in kms^{-1} of $\text{Cr}_x\text{Sn}_{1-x}\text{O}_2$ compound at various compositions

| Materials | Method | Y(GPa) | G (GPa) | n | Θ | V_p | V_B | V_G |
|--|----------------|--------|---------|------|----------|-------|-------|-------|
| SnO ₂ | Present Study | 216.9 | 84.6 | 0.28 | 498.35 | 6.55 | 5.06 | 3.60 |
| SnO ₂ | Previous Study | 269.2 | 104.4 | 0.29 | 537 | 6.75 | - | - |
| Cr _{0.25} Sn _{0.75} O ₂ | Present Study | 224.6 | 88.8 | 0.26 | 537.30 | 6.73 | 5.09 | 3.81 |
| Cr _{0.50} Sn _{0.50} O ₂ | Present Study | 224.7 | 87.47 | 0.28 | 564.04 | 7.11 | 5.50 | 3.89 |
| Cr _{0.75} Sn _{0.25} O ₂ | Present Study | 282.9 | 112.63 | 0.26 | 685.97 | 8.04 | 6.04 | 4.60 |
| CrO ₂ | Present Study | 258.8 | 101.57 | 0.27 | 709.80 | 8.32 | 6.37 | 4.64 |
| CrO ₂ | Previous Study | 359 | 140 | 0.28 | - | - | - | - |

Poisson's ratio measures the ratio of lateral strain to longitudinal strain, and its maximum value for an ideal incompressible material is 0.5. In Engineering and material sciences, it varies between 0.25 and 0.33. The values of Poisson's ratio at $x = 0$ to 1 in $\text{Cr}_x\text{Sn}_{1-x}\text{O}_2$ compound range from 0.26 to 0.28. This made the materials with Poisson's ratio ($n > 0.26$) at $x = 0, 0.50, 0.75$ and 1.0 to be ductile and stable, as shown in Table 4, which implies high ionic bonding exists between the materials. $\text{Cr}_x\text{Sn}_{1-x}\text{O}_2$ compound at various compositions with increased Debye temperature from $x = 0$ to 1 maintained ionic bonding in the tetragonal rutile space group $P4_2/mnm$.

5. CONCLUSIONS

In this study, analyses of structural, electronic and elastic properties of $\text{Cr}_x\text{Sn}_{1-x}\text{O}_2$ compound from ab-initio calculations based on density functional theory were made. The compound minimized lattice parameters of SnO₂ are in excellent agreement with the experimental. The calculated compressive wave velocity, V_p of SnO₂ (6.55km/s) is in close agreement with value obtained from Ozer and Cabuk in 2019 (6.75 km/s) and a bit lower than that of experimental value of 7.02 km/s with a percentage error of 6.7%. From the elastic constants calculations, Debye temperature, Young's and Shear moduli as well as Poisson's ratio were assessed. Howbeit there are no experimental and theoretical values in literature to compare the structural, electronic, elastic properties with at $x = 0.25$ to 0.75. The experimentalists and theoreticians are employed to work on these.

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